

10/08/14  
10/08/14  
02/28/02

Docket No. 87335.3461  
Customer No. 30734

**UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)**

HONORABLE COMMISSIONER OF  
PATENTS AND TRADEMARKS  
Washington D.C. 20231

Case Docket No. 87335.3461

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(s): Dave ENGEL

FOR: **RETAINING RING APPARATUS AND METHOD**

Enclosed are:

- ☒ 16 pages comprised of 1 cover sheet, 10 pages of specification, 4 pages of claims, and 1 page of abstract
- ☒ Declaration & Power of Attorney
- ☒ Priority Claimed from US Provisional Application No.60/324,526, filed September 26, 2002.
- ☐ Certified copy of \_\_\_\_\_
- ☒ 3 sheets of drawings
- ☒ An assignment of the invention to SPX Corporation, and the assignment recordation fee.
- ☒ Return Receipt Postcard
- ☐ Information Disclosure Statement, Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Other: The undersigned hereby declares that this applications meets the qualifications for **Small Entity Status** under 37 C.F.R. 1.9(f) and 1.27(c) - Small Business Concerns - Independent Inventor.
- ☐ Other:

The filing fee has been calculated as shown below:

(1) FOR	(2) NO.	(3) NO.	(4) RATE	(5) AMOUNT	
TOTAL CLAIMS	FILED	EXTRA			
	21	-20	1	x \$18.00 =	\$18.00
INDEPENDENT CLAIMS	4	-3	1	x \$80.00 =	\$80.00
MULTIPLE DEPENDENT CLAIM(S) (If applicable)			+ \$270.00 =		0.00
<b>BASIC FEE</b>					<b>\$ 740.00</b>
Total of above calculations					<b>\$838.00</b>
[X] Assignment & Recording Fee					<b>\$40.00</b>
<b>TOTAL FEE</b>					<b>\$878.00</b>

The PTO did not receive the following listed item(s) Assignment of Invention  
and Declaration

- [X] Attached is a check in the amount of \$838.00. A duplicate copy of this sheet is enclosed.
- [ ] Please charge my Deposit Account No. 50-2036 in the amount of \$0.00. A duplicate copy of this sheet is enclosed.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-2036. A duplicate copy is enclosed.
- [X] Any additional filing fees required under 37 CFR 1.16.
- [X] The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-2036. A duplicate copy of this sheet is enclosed.
- [X] Any patent application processing fees under 37 CFR 1.17.
- [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

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Respectfully submitted,

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